

Shareholding Pattern of IIFL Securities Limited as on June 30, 2019

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)	(XIV)	(XV)	
(A)	Promoter & Promoter Group	8	92661432	0	0	92661432	29.0261	92661432	0	92661432	29.0261	0	29.0261	0	0	0	0	92661432
(B)	Public	39823	226498030	0	0	226498030	70.9504	226498030	0	226498030	70.9504	0	70.9504	0	0	0	0	22624979
(C)	Non Promoter - Non Public																	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares Held By Employee Trust	1	75000	0	0	75000	0.02	0	0	0	0	0	0.02	0	0	0	0	75000
	Total	39832	319234462	0	0	319234462	100	319159462	0	319159462	100	0	100	0	0	0	0	318961411



IIFL Securities Limited as on June 30, 2019

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) As a % of	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XII)	
							No of Voting Rights					Total as a % of (A+B+C) (IX)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
1																	
(a) Indian																	
Individuals / Hindu Undivided Family	6	88661432	0	0	88661432	27.7731	88661432	0	88661432	27.7731	0	0	0	0	0	0	88661432
Nirmal Bhanwarlal Jain	1	46402000	0	0	46402000	14.5354	46402000	0	46402000	14.5354	0	0	0	0	0	0	46402000
Madhu N Jain	1	12075000	0	0	12075000	3.7825	12075000	0	12075000	3.7825	0	0	0	0	0	0	12075000
Venkataraman Rajamani	1	10984432	0	0	10984432	3.4409	10984432	0	10984432	3.4409	0	0	0	0	0	0	10984432
Mansukhlal Jain	1	10000000	0	0	10000000	3.1325	10000000	0	10000000	3.1325	0	0	0	0	0	0	10000000
Aditi Avinash Athavankar	1	9000000	0	0	9000000	2.8192	9000000	0	9000000	2.8192	0	0	0	0	0	0	9000000
Aditi Athavankar	1	2000000	0	0	2000000	0.0626	2000000	0	2000000	0.0626	0	0	0	0	0	0	2000000
(b) Central Government / State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Financial Institutions / Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Any Other (Specify)	2	4000000	0	0	4000000	1.253	4000000	0	4000000	1.253	0	0	0	0	0	0	4000000
Persons Acting In Concert	2	4000000	0	0	4000000	1.253	4000000	0	4000000	1.253	0	0	0	0	0	0	4000000
Ardent Impex Pvt Ltd	1	2700000	0	0	2700000	0.8458	2700000	0	2700000	0.8458	0	0	0	0	0	0	2700000
Orpheus Trading Pvt Ltd	1	1300000	0	0	1300000	0.4072	1300000	0	1300000	0.4072	0	0	0	0	0	0	1300000
Sub Total (A)(1)	8	92661432	0	0	92661432	29.0261	92661432	0	92661432	29.0261	0	0	0	0	0	0	92661432
2																	
(a) Foreign																	
Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	8	92661432	0	0	92661432	29.0261	92661432	0	92661432	29.0261	0	0	0	0	0	0	92661432

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



IIFL Securities Limited as on June 30, 2019

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C) (VIII) As a % of	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a %	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XII)	
							No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
							Class eg: X	Class eg: Y									Total
1																	
(a) Institutions																	
Mutual Fund	3	3675994	0	0	3675994	1.1515	3675994	0	3675994	1.1515	0	0	0	0	0	0	3675994
Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Alternate Investment Funds	7	1044914	0	0	1044914	0.3273	1044914	0	1044914	0.3273	0	0	0	0	0	0	1044914
Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Foreign Portfolio Investor	115	76257277	0	0	76257277	23.8875	76257277	0	76257277	23.8875	0	0	0	0	0	0	76257277
Hwic Asia Fund Class A Shares	1	28362530	0	0	28362530	8.8845	28362530	0	28362530	8.8845	0	0	0	0	0	0	28362530
Bank Muscat India Fund	1	12598222	0	0	12598222	3.9464	12598222	0	12598222	3.9464	0	0	0	0	0	0	12598222
Wf Asian Reconnaissance Fund Limited	1	9515355	0	0	9515355	2.9807	9515355	0	9515355	2.9807	0	0	0	0	0	0	9515355
Vanguard Emerging Markets Stock Index Fund, A S	1	3608450	0	0	3608450	1.1303	3608450	0	3608450	1.1303	0	0	0	0	0	0	3608450
Vanguard Total International Stock Index Fund	1	3602841	0	0	3602841	1.1286	3602841	0	3602841	1.1286	0	0	0	0	0	0	3602841
Financial Institutions / Banks	1	5438	0	0	5438	0.0017	5438	0	5438	0.0017	0	0	0	0	0	0	5438
Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (B)(1)	126	80983623	0	0	80983623	25.3681	80983623	0	80983623	25.3681	0	0	0	0	0	0	80983623
Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3 Non-Institutions																	
(a) Individuals																	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	36660	13365009	0	0	13365009	4.1866	13365009	0	13365009	4.1866	0	0	0	0	0	0	13316958
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	33	21262838	0	0	21262838	6.6606	21262838	0	21262838	6.6606	0	0	0	0	0	0	21262838
(b) NBFCs registered with RBI	3	201200	0	0	201200	0.063	201200	0	201200	0.063	0	0	0	0	0	0	201200
Trust Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Any Other (Specify)	3001	110685360	0	0	110685360	34.6721	110685360	0	110685360	34.6721	0	0	0	0	0	0	110460360
IEPF	1	26244	0	0	26244	0.0082	26244	0	26244	0.0082	0	0	0	0	0	0	26244
Trusts	8	11199	0	0	11199	0.0035	11199	0	11199	0.0035	0	0	0	0	0	0	11199
Foreign Nationals	5	243307	0	0	243307	0.0762	243307	0	243307	0.0762	0	0	0	0	0	0	243307
Hindu Undivided Family	979	407551	0	0	407551	0.1277	407551	0	407551	0.1277	0	0	0	0	0	0	407551
Foreign Companies	1	84641445	0	0	84641445	26.5139	84641445	0	84641445	26.5139	0	0	0	0	0	0	84641445
Fih Mauritius Investments Ltd	1	84641445	0	0	84641445	26.5139	84641445	0	84641445	26.5139	0	0	0	0	0	0	84641445
Non Resident Indians (Non Repat)	414	5961104	0	0	5961104	1.8673	5961104	0	5961104	1.8673	0	0	0	0	0	0	5961104
Bharat H Parajia	1	5200000	0	0	5200000	1.6289	5200000	0	5200000	1.6289	0	0	0	0	0	0	5200000
Non Resident Indians (Repat)	777	16908537	0	0	16908537	5.2966	16908537	0	16908537	5.2966	0	0	0	0	0	0	16683537
Bharat H Parajia	1	10000000	0	0	10000000	3.1325	10000000	0	10000000	3.1325	0	0	0	0	0	0	10000000
Satpal Khattar	1	6216528	0	0	6216528	1.9473	6216528	0	6216528	1.9473	0	0	0	0	0	0	6216528
Office Bearers	1	72014	0	0	72014	0.0226	72014	0	72014	0.0226	0	0	0	0	0	0	72014
Clearing Member	58	73147	0	0	73147	0.0229	73147	0	73147	0.0229	0	0	0	0	0	0	73147
Bodies Corporate	757	2340812	0	0	2340812	0.7333	2340812	0	2340812	0.7333	0	0	0	0	0	0	2340812
Sub Total (B)(3)	39697	145514407	0	0	145514407	45.5823	145514407	0	145514407	45.5823	0	0	0	0	0	0	145241356
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	39823	226498030	0	0	226498030	70.9504	226498030	0	226498030	70.9504	0	0	0	0	0	0	226224979

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Names	Holdings	%
ARDENT IMPEX PVT LTD	2700000	0.8458
ORPHEUS TRADING PVT LTD	300000	0.0940
ORPHEUS TRADING PVT LTD	1000000	0.3132

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



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IIFL Securities Limited as on June 30, 2019

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI) =	(XII)		(XIII)	(XII)		
1 Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	75000	0	0	75000	0.0235	0	0	0	0	0	0.0235	0	0	0	0	75000
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	1	75000	0	0	75000	0.0235	0	0	0	0	0	0.0235	0	0	0	0	75000

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



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