

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1.	Name of Listed Entity: IIFL Securities Limited			
2.	Scrip Code/Name of Scrip/Class of Security: 542773			
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
	a.	If under 31(1)(b) then indicate the report for Quarter ending		
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment		
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
		Particulars	Yes*	No*
	1	Whether the Listed Entity has issued any partly paid up shares?		No
	2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
	3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
	4	Whether the Listed Entity has any shares in locked-in?		No
	5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
	6	Whether the Listed Entity has any Significant Beneficial Owner?	Yes	
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>				
<p>GENERATED ON :04/10/2019      NSDL : 30/09/2019      CDSL :30/09/2019</p>				



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Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	8	93898714	0	0	93898714	29.4137	93898714	0	93898714	29.4137	0	0.0000	0	0.0000	93898714		
(B)	Public	38852	225260748	0	0	225260748	70.5628	225260748	0	225260748	70.5628	0	0.0000	NA	NA	224983933		
(C)	Non Promoter - Non Public												0.0000	NA	NA			
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(C2)	Shares Held By Employee Trust	1	75000	0	0	75000	0.0235	0	0	0	0.0000	0	0.0000	NA	NA	75000		
	Total	38861	319234462	0	0	319234462	100.0000	319159462	0	319159462	100.0000	0	0.0000	0	0.0000	318957647		

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Indian																	
(a)	Individuals / Hindu Undivided Family	6	89398714	0	0	89398714	28.0041	89398714	0	89398714	28.0041	0	28.0041	0	0.0000	0	0.0000	89398714
	Nirmal Bhanwarlal Jain	1	46964282	0	0	46964282	14.7115	46964282	0	46964282	14.7115	0	14.7115	0	0.0000	0	0.0000	46964282
	Madhu N Jain	1	12075000	0	0	12075000	3.7825	12075000	0	12075000	3.7825	0	3.7825	0	0.0000	0	0.0000	12075000
	Venkataraman Rajamani	1	11159432	0	0	11159432	3.4957	11159432	0	11159432	3.4957	0	3.4957	0	0.0000	0	0.0000	11159432
	Mansukhlal Jain	1	10000000	0	0	10000000	3.1325	10000000	0	10000000	3.1325	0	3.1325	0	0.0000	0	0.0000	10000000
	Aditi Avinash Athavankar	1	9000000	0	0	9000000	2.8192	9000000	0	9000000	2.8192	0	2.8192	0	0.0000	0	0.0000	9000000
	Aditi Athavankar	1	200000	0	0	200000	0.0626	200000	0	200000	0.0626	0	0.0626	0	0.0000	0	0.0000	200000
(b)	Central Government / State Government(s)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)	2	4500000	0	0	4500000	1.4096	4500000	0	4500000	1.4096	0	1.4096	0	0.0000	0	0.0000	4500000
	Persons Acting In Concert	2	4500000	0	0	4500000	1.4096	4500000	0	4500000	1.4096	0	1.4096	0	0.0000	0	0.0000	4500000
	Ardent Impex Pvt Ltd	1	2700000	0	0	2700000	0.8458	2700000	0	2700000	0.8458	0	0.8458	0	0.0000	0	0.0000	2700000
	Orpheus Trading Pvt Ltd	1	1800000	0	0	1800000	0.5638	1800000	0	1800000	0.5638	0	0.5638	0	0.0000	0	0.0000	1800000
	Sub Total (A)(1)	8	93898714	0	0	93898714	29.4137	93898714	0	93898714	29.4137	0	29.4137	0	0.0000	0	0.0000	93898714
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	8	93898714	0	0	93898714	29.4137	93898714	0	93898714	29.4137	0	29.4137	0	0.0000	0	0.0000	93898714

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
1	Institutions																	
(a)	Mutual Fund	2	2997197	0	0	2997197	0.9389	2997197	0	2997197	0.9389	0	0.9389	0	0.0000	NA	NA	2997197
(b)	Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds	6	887717	0	0	887717	0.2781	887717	0	887717	0.2781	0	0.2781	0	0.0000	NA	NA	887717
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor	108	75136444	0	0	75136444	23.5364	75136444	0	75136444	23.5364	0	23.5364	0	0.0000	NA	NA	75136444
	Hwic Asia Fund Class A Shares	1	28362530	0	0	28362530	8.8845	28362530	0	28362530	8.8845	0	8.8845	0	0.0000	NA	NA	28362530
	Bank Muscat India Fund	1	12598222	0	0	12598222	3.9464	12598222	0	12598222	3.9464	0	3.9464	0	0.0000	NA	NA	12598222
	WF Asian Reconnaissance Fund Limited	1	9515355	0	0	9515355	2.9807	9515355	0	9515355	2.9807	0	2.9807	0	0.0000	NA	NA	9515355
	Vanguard Emerging Markets Stock Index Fund, A Series Of Vanguard International Equity Index Funds	1	3608450	0	0	3608450	1.1303	3608450	0	3608450	1.1303	0	1.1303	0	0.0000	NA	NA	3608450
	Vanguard Total International Stock Index Fund	1	3602841	0	0	3602841	1.1286	3602841	0	3602841	1.1286	0	1.1286	0	0.0000	NA	NA	3602841
(f)	Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(g)	Insurance Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)	116	79021358	0	0	79021358	24.7534	79021358	0	79021358	24.7534	0	24.7534	0	0.0000	NA	NA	79021358
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions																	
(a)	Individuals																	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	35795	13674574	0	0	13674574	4.2836	13674574	0	13674574	4.2836	0	4.2836	0	0.0000	NA	NA	13623883
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	32	21337788	0	0	21337788	6.6840	21337788	0	21337788	6.6840	0	6.6840	0	0.0000	NA	NA	21337788
(b)	NBFCs registered with RBI	2	1200	0	0	1200	0.0004	1200	0	1200	0.0004	0	0.0004	0	0.0000	NA	NA	1200
	Trust Employee	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Any Other (Specify)	2907	111225828	0	0	111225828	34.8414	111225828	0	111225828	34.8414	0	34.8414	0	0.0000	NA	NA	110999704
	IEPF	1	26244	0	0	26244	0.0082	26244	0	26244	0.0082	0	0.0082	0	0.0000	NA	NA	26244
	Trusts	8	11199	0	0	11199	0.0035	11199	0	11199	0.0035	0	0.0035	0	0.0000	NA	NA	11199
	Foreign Nationals	4	242557	0	0	242557	0.0760	242557	0	242557	0.0760	0	0.0760	0	0.0000	NA	NA	242557
	Hindu Undivided Family	954	425709	0	0	425709	0.1334	425709	0	425709	0.1334	0	0.1334	0	0.0000	NA	NA	425709
	Foreign Companies	1	84641445	0	0	84641445	26.5139	84641445	0	84641445	26.5139	0	26.5139	0	0.0000	NA	NA	84641445
	Fih Mauritius Investments Ltd	1	84641445	0	0	84641445	26.5139	84641445	0	84641445	26.5139	0	26.5139	0	0.0000	NA	NA	84641445
	Non Resident Indians (Non Repat)	406	5956980	0	0	5956980	1.8660	5956980	0	5956980	1.8660	0	1.8660	0	0.0000	NA	NA	5956980
	Parajia Bharat Himatlal	1	5200000	0	0	5200000	1.6289	5200000	0	5200000	1.6289	0	1.6289	0	0.0000	NA	NA	5200000
	Other Directors	1	115000	0	0	115000	0.0360	115000	0	115000	0.0360	0	0.0360	0	0.0000	NA	NA	115000
	Non Resident Indians (Repat)	756	16893871	0	0	16893871	5.2920	16893871	0	16893871	5.2920	0	5.2920	0	0.0000	NA	NA	16668871
	Parajia Bharat Himatlal	1	10000000	0	0	10000000	3.1325	10000000	0	10000000	3.1325	0	3.1325	0	0.0000	NA	NA	10000000
	Satpal Khattar	1	6216528	0	0	6216528	1.9473	6216528	0	6216528	1.9473	0	1.9473	0	0.0000	NA	NA	6216528
	Office Bearers	1	72014	0	0	72014	0.0226	72014	0	72014	0.0226	0	0.0226	0	0.0000	NA	NA	72014
	Clearing Member	69	347808	0	0	347808	0.1090	347808	0	347808	0.1090	0	0.1090	0	0.0000	NA	NA	347808
	Bodies Corporate	706	2493001	0	0	2493001	0.7809	2493001	0	2493001	0.7809	0	0.7809	0	0.0000	NA	NA	2491877
	Sub Total (B)(3)	38736	146239390	0	0	146239390	45.8094	146239390	0	146239390	45.8094	0	45.8094	0	0.0000	NA	NA	145962575
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	38852	225260748	0	0	225260748	70.5628	225260748	0	225260748	70.5628	0	70.5628	0	0.0000	NA	NA	224983933



Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Names	%
ORPHEUS TRADING PVT LTD	0.2506
ARDENT IMPEX PVT LTD	0.8458
ORPHEUS TRADING PVT LTD	0.3132

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders
0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	75000	0	0	75000	0.0235	0	0	0	0.0000	0	0.0235	0	0.0000	NA	NA	75000
	Total Non-Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)	1	75000	0	0	75000	0.0235	0	0	0	0.0000	0	0.0235	0	0.0000	NA	NA	75000

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table V - Statement showing details of the Significant Beneficial Owner

Sr. No	Details of SBO (I)		Details of Registered Owner (II)		Details of holding/ Exercise of right of the SBO in the reporting Company, whether direct or indirect*: (III)					Date of Creation/Acquisition of significant
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares %	Voting Rights %	Rights on distributable dividend or any other distribution	Exercise of Control	Exercise of Significant Influence	
1	Nirmal Jain and Madhu Jain collectively	Indian	Orpheus Trading Private Limited	Indian	0.56	-	-	No	No	06-06-2019
2	Nirmal Jain and Madhu Jain collectively	Indian	Ardent Impex Private Limited	Indian	0.84	-	-	No	No	06-06-2019
3	Nirmal Jain, Madhu Jain, Bhavya Jain, Kalpita Jain and Harshita Jain collectively	Indian	Harshita Jain and Mansukhlal Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	Indian	3.13	-	-	No	No	06-06-2019

\*In case the nature of holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be #This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar

