

July 19, 2021

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC
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Dear Sir/Madam,

Sub: Statement of Investor Complaints for the quarter ended June 30, 2021

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith statement of investor complaints for the quarter ended June 30, 2021.

Kindly take the above on record and oblige.

Thanking You,
Yours faithfully,

For IIFL Securities Limited



Meghal Shah
Company Secretary
Email: secretarial@iifl.com

Encl: as above

IIFL Securities Limited

Corporate Identity Number: **L99999MH1996PLC132983**

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604
Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654 • E-mail: secretarial@iifl.com • Website: www.iiflsecurities.com

July 19, 2021

Statement of Grievance Redressal Mechanism for the quarter ended June 30, 2021					
Security Holders Complaints.					
Sr. No.	Description of Subjects	Opening Balance	Received	Attended	Pending
1	Non Receipt of dividend/Interest/Redemption Warrant.	0	0	0	0
2	Non Receipt of Annual Reports	0	0	0	0
3	Non Receipt of Securities	0	0	0	0
4	Non Receipt of Refund Order	0	0	0	0
5	SEBI-Scores	1	4	3	2*
	Total	1	4	3	2

**The two pending complaints were received by the same person for the same matter. Requisite action has been taken to resolve the complaints. As on date, the complaints stand closed.*

For IIFL Securities Limited




Meghal Shah

Company Secretary

Email: secretarial@iifl.com

IIFL Securities Limited

Corporate Identity Number: **L99999MH1996PLC132983**

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 Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654 • E-mail: secretarial@iifl.com • Website: www.iiflsecurities.com

Date: 03.07.2021

To,
Company Secretary
IIFL Securities Limited
Plot No. B - 23, IIFL House, Sun Infotech Park,
Road No - 16V, Thane Industrial Area, Wagle Estate,
Thane, Maharashtra, 400604

Sub : Investor Grievance Report for the period from 01.04.2021 to 30.06.2021

We are the Registrar and Transfer Agent for **IIFL Securities Limited**

Statement of Investor complaints for the quarter ended 30th June, 2021 under Regulation 13 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is to certify that we have not received any complaint letters from the shareholders for the period 01.04.2021 to 30.06.2021

The details of the complaints are given below.

Particulars	Quarter ended 30 th June, 2021
Investor Complaints:	
Pending at the beginning of the quarter	Nil
Received during the quarter	Nil
Disposed of during the quarter	Nil
Remaining unresolved at the end of the quarter	Nil

* Further, Register of Members has been updated as on 30-06-2021.

This is for your information.

For Link Intime India Private Ltd.



Authorized Signatory