

April 20, 2021

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001.

Tel no.: 22721233

Fax No.: 22723719/ 22723121/ 22722037

**BSE Scrip Code: 542773** 

The Manager, Listing Department,

The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block,

Bandra - Kurla Complex, Bandra (E),

Mumbai 400 051. Tel No.: 2659 8235

Fax No.: 26598237/ 26598238

**NSE Symbol: IIFLSEC** 

Dear Sir/Madam,

## Sub: Statement of Grievance Redressal Mechanism for the quarter ended March 31, 2021

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith quarterly statement of Grievance Redressal Mechanism for the quarter ended March 31, 2021.

Kindly take the above on record and oblige.

Thanking You, Yours faithfully,

## For IIFL Securities Limited

Meghal Shah

**Company Secretary** 

Email: secretarial@iifl.com

Encl: as above



April 20, 2021

Sr. No.	Security Holders Complaints.  Description of Subjects	Opening Balance	Received	Attended	Pending
2	Non Receipt of Annual Reports	0	0	0	0
3	Non Receipt of Securities	0	0	0	0
4	Non Receipt of Refund Order	0	0	0	0
5	SEBI-Scores	1	1	1	1*
	Total	1	1	1	1

<sup>\*</sup>Company has sought necessary explanation from the complainant and the same is awaited.

**For IIFL Securities Limited** 

**Meghal Shah** 

**Company Secretary** 

Email: secretarial@iifl.com



Link Intime India Pvt. Ltd. CIN: U67190MH1999PTC118368

C-101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai - 400 083.

Tel.: +91 22 4918 6000 Fax: +91 22 4918 6060

E-mail: mumbai@linkintime.co.in Website: www.linkintime.co.in

Date: 06.04.2021

To, Company Secretary IIFL Securities Limited Plot No. B - 23, IIFL House, Sun Infotech Park, Road No - 16V, Thane Industrial Area, Wagle Estate, Thane, Maharashtra, 400604

Sub: Investor Grievance Report for the period from 01.01.2021 to 31.03.2021

We are the Registrar and Transfer Agent for IIFL Securities Limited

Statement of Investor complaints for the quarter ended 31st March, 2021 under Regulation 13 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is to certify that we have not received any complaint letters from the shareholders for the period 01.01.2021 to 31.03.2021

The details of the complaints are given below.

Particulars	Quarter ended 31st March, 2021	
Investor Complaints:		
Pending at the beginning of the quarter	Nil	
Received during the quarter	Nil	
Disposed of during the quarter	Nil	
Remaining unresolved at the end of the quarter	Nil	

<sup>\*</sup> Further, Register of Members has been updated as on 31-03-2021.

This is for your information.

For Link Intime India Private Ltd.

Authorized Signatory