

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: IIFL Securities Limited	
2	Scrip Code/Name of Scrip/Class of Security: 542773	
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No
6 Whether the listed entity has any Significant Beneficial Owner?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :04/04/2020

NSDL : 31/03/2020

CDSL :31/03/2020



IIFL Securities Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	8	95143214	0	0	95143214	29.7686	95143214	0	95143214	29.7686	0	29.7686	0	0.0000	0	0.0000	95143214
(B)	Public	42244	224466248	0	0	224466248	70.2314	224466248	0	224466248	70.2314	0	70.2314	0	0.0000	NA	NA	224189528
(C)	Non Promoter - Non Public				0				0			0		0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	42252	319609462	0	0	319609462	100.0000	319609462	0	319609462	100.0000	0	100.0000	0	0.0000	0	0.0000	319332742



IIFL Securities Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (as a percentage	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of	(XII)		(XIII)	(XIV)		
1	Indian																	
(a)	Individuals / Hindu Undivided Family	6	89423714	0	0	89423714	27.9791	89423714	0	89423714	27.9791	0	27.9791	0	0.0000	0	0.0000	89423714
	Nirmal Bhanwarlal Jain	1	46964282	0	0	46964282	14.6943	46964282	0	46964282	14.6943	0	14.6943	0	0.0000	0	0.0000	46964282
	Madhu N Jain	1	12075000	0	0	12075000	3.7780	12075000	0	12075000	3.7780	0	3.7780	0	0.0000	0	0.0000	12075000
	Venkataraman Rajamani	1	11184432	0	0	11184432	3.4994	11184432	0	11184432	3.4994	0	3.4994	0	0.0000	0	0.0000	11184432
	Harshita Jain and Mansukhlal Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	1	10000000	0	0	10000000	3.1288	10000000	0	10000000	3.1288	0	3.1288	0	0.0000	0	0.0000	10000000
	Aditi Avinash Athavankar (in the capacity as Trustee of Kalki Family Private Trust)	1	9000000	0	0	9000000	2.8159	9000000	0	9000000	2.8159	0	2.8159	0	0.0000	0	0.0000	9000000
	Aditi Athavankar	1	200000	0	0	200000	0.0626	200000	0	200000	0.0626	0	0.0626	0	0.0000	0	0.0000	200000
(b)	Central Government / State Government(s)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)	2	5719500	0	0	5719500	1.7895	5719500	0	5719500	1.7895	0	1.7895	0	0.0000	0	0.0000	5719500
	Persons Acting In Concert	2	5719500	0	0	5719500	1.7895	5719500	0	5719500	1.7895	0	1.7895	0	0.0000	0	0.0000	5719500
	Orpheus Trading Pvt Ltd	1	3019500	0	0	3019500	0.9447	3019500	0	3019500	0.9447	0	0.9447	0	0.0000	0	0.0000	3019500
	Ardent Impex Pvt Ltd	1	2700000	0	0	2700000	0.8448	2700000	0	2700000	0.8448	0	0.8448	0	0.0000	0	0.0000	2700000
	Sub Total (A)(1)	8	95143214	0	0	95143214	29.7686	95143214	0	95143214	29.7686	0	29.7686	0	0.0000	0	0.0000	95143214
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	8	95143214	0	0	95143214	29.7686	95143214	0	95143214	29.7686	0	29.7686	0	0.0000	0	0.0000	95143214

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :04/04/2020

NSDL : 31/03/2020

CDSL :31/03/2020



IIFL Securities Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
					No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
					Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
1 Institutions															
(a) Mutual Fund	1	2273628	2273628	0.7114	2273628	0	2273628	0.7114	0	0.0000	NA	NA	2273628		
(b) Venture Capital Funds	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(c) Alternate Investment Funds	4	10123625	10123625	3.1675	10123625	0	10123625	3.1675	0	0.0000	NA	NA	10123625		
Abakus Growth Fund-1	1	6300000	6300000	1.9712	6300000	0	6300000	1.9712	0	0.0000	NA	NA	6300000		
Abakus Emerging Opportunities Fund-1	1	3500048	3500048	1.0951	3500048	0	3500048	1.0951	0	0.0000	NA	NA	3500048		
(d) Foreign Venture Capital Investors	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(e) Foreign Portfolio Investor	64	51392709	51392709	16.0798	51392709	0	51392709	16.0798	0	0.0000	NA	NA	51392709		
Hwic Asia Fund Class A Shares	1	28362530	28362530	8.8741	28362530	0	28362530	8.8741	0	0.0000	NA	NA	28362530		
Bank Muscat India Fund	1	12598222	12598222	3.9418	12598222	0	12598222	3.9418	0	0.0000	NA	NA	12598222		
(f) Financial Institutions / Banks	2	1896	1896	0.0006	1896	0	1896	0.0006	0	0.0000	NA	NA	1896		
(g) Insurance Companies	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(h) Provident Funds/ Pension Funds	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(i) Any Other (Specify)	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
Sub Total (B)(1)	71	63791858	63791858	19.9593	63791858	0	63791858	19.9593	0	0.0000	NA	NA	63791858		
2 Central Government/ State Government(s)/ President of India															
Sub Total (B)(2)	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
3 Non-Institutions															
(a) individuals	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	39451	21026840	21026840	6.5789	21026840	0	21026840	6.5789	0	0.0000	NA	NA	20976244		
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	48	27625193	27625193	8.6434	27625193	0	27625193	8.6434	0	0.0000	NA	NA	27625193		
(b) NBFCs registered with RBI	2	1200	1200	0.0004	1200	0	1200	0.0004	0	0.0000	NA	NA	1200		
Trust Employee	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(d) Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(e) Any Other (Specify)	2672	112021157	112021157	35.0494	112021157	0	112021157	35.0494	0	0.0000	NA	NA	111795033		
IEPF	1	26244	26244	0.0082	26244	0	26244	0.0082	0	0.0000	NA	NA	26244		
Trusts	7	101776	101776	0.0318	101776	0	101776	0.0318	0	0.0000	NA	NA	101776		
Foreign Nationals	4	245607	245607	0.0769	245607	0	245607	0.0769	0	0.0000	NA	NA	245607		
Hindu Undivided Family	921	694970	694970	0.2174	694970	0	694970	0.2174	0	0.0000	NA	NA	694970		
Non Resident Indians (Non Repat)	372	6017685	6017685	1.8828	6017685	0	6017685	1.8828	0	0.0000	NA	NA	6017685		
Paraja Bharat Himatla	1	5200000	5200000	1.6270	5200000	0	5200000	1.6270	0	0.0000	NA	NA	5200000		
Non Resident Indians (Repat)	686	14045102	14045102	4.3945	14045102	0	14045102	4.3945	0	0.0000	NA	NA	13820102		
Paraja Bharat Himatla	1	10000000	10000000	3.1288	10000000	0	10000000	3.1288	0	0.0000	NA	NA	10000000		
Satpal Khattar	1	3216528	3216528	1.0064	3216528	0	3216528	1.0064	0	0.0000	NA	NA	3216528		
Clearing Member	61	251426	251426	0.0787	251426	0	251426	0.0787	0	0.0000	NA	NA	251426		
Bodies Corporate	620	90638347	90638347	28.3591	90638347	0	90638347	28.3591	0	0.0000	NA	NA	90637223		
FIH Mauritius Investments Ltd	1	84641445	84641445	26.4828	84641445	0	84641445	26.4828	0	0.0000	NA	NA	84641445		
Sub Total (B)(3)	42173	160674390	160674390	50.2721	160674390	0	160674390	50.2721	0	0.0000	NA	NA	160397670		
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	42244	224466248	224466248	70.2314	224466248	0	224466248	70.2314	0	0.0000	NA	NA	224189528		

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Names	%
ORPHEUS TRADING PVT LTD	0.3129
ARDENT IMPEX PVT LTD	0.8448
ORPHEUS TRADING PVT LTD	0.6319

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders
0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares: Kindly refer Annexure - i
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



IIFL Securities Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including as a	Shareholding, as a % assuming full conversion of convertible securities (as a % of (VII)+(X))	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

GENERATED ON :04/04/2020

NSDL : 31/03/2020

CDSL :31/03/2020



IIFL Securities Limited

Table V - Statement showing details of the Significant Beneficial Owner

Sr. No	Details of SBO (I)		Details of Registered Owner (II)		Details of holding/ Exercise of right of the SBO in the reporting Company, whether direct or indirect*: (III)					Date of Creation/Acquisition of
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares %	Voting Rights %	Rights on distributable dividend or any other distribution	Exercise of Control	Exercise of Significant Influence	
1	Nirmal Jain and Madhu Jain collectively	Indian	Orpheus Trading Private Limited	Indian	0.95	-	-	No	No	06-06-2019
2	Nirmal Jain and Madhu Jain collectively	Indian	Ardent Impex Private Limited	Indian	0.84	-	-	No	No	06-06-2019
3	Nirmal Jain, Madhu Jain, Bhavya Jain, Kalpita Jain and Harshita Jain collectively	Indian	Harshita Jain and Mansukhlal Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	Indian	3.13	-	-	No	No	06-06-2019

*In case the nature of holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

#This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar

