

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: IIFL Securities Limited	
2.	Scrip Code/Name of Scrip/Class of Security: 542773	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether the listed entity has any Significant Beneficial Owner?	Yes	
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>			

GENERATED ON :10/07/2020 NSDL : 30/06/2020 CDSL :30/06/2020



IIFL Securities Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including securities convertible into equity securities)	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)=	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	8	95143214	0	0	95143214	29.7686	95143214	0	95143214	29.7686	0	0	0	0	95143214		
(B)	Public	32656	224466248	0	0	224466248	70.2314	224466248	0	224466248	70.2314	0	0	NA	NA	224189528		
(C)	Non Promoter - Non Public													0	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	NA	NA	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	NA	NA	0	
	Total	32664	319609462	0	0	319609462	100	319534462	0	319534462	100	0	100	0	0	0	319332742	

GENERATED ON :10/07/2020 NSDL : 30/06/2020 CDSL :30/06/2020

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	conversion of convertible securities (as a percentage	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
1	(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)			(X)	(XI)=	(XII)		(XIII)	(XIV)		
(a)	Indian																	
	Individuals / Hindu Undivided Family	6	89423714	0	0	89423714	27.9791	89423714	0	89423714	27.9791	0	27.9791	0	0	0	0	89423714
	Nirmal Bhanwarlal Jain	1	46964282	0	0	46964282	14.6943	46964282	0	46964282	14.6943	0	14.6943	0	0	0	0	46964282
	Madhu N Jain	1	12075000	0	0	12075000	3.778	12075000	0	12075000	3.778	0	3.778	0	0	0	0	12075000
	Venkataraman Rajamani	1	11184432	0	0	11184432	3.4994	11184432	0	11184432	3.4994	0	3.4994	0	0	0	0	11184432
	Harshita Jain and Mansukhlal Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	1	10000000	0	0	10000000	3.1288	10000000	0	10000000	3.1288	0	3.1288	0	0	0	0	10000000
	Aditi Avinash Athavankar (in the capacity as Trustee of Kalki Family Private Trust)	1	9000000	0	0	9000000	2.8159	9000000	0	9000000	2.8159	0	2.8159	0	0	0	0	9000000
	Aditi Athavankar	1	200000	0	0	200000	0.0626	200000	0	200000	0.0626	0	0.0626	0	0	0	0	200000
(b)	Central Government / State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)	2	5719500	0	0	5719500	1.7895	5719500	0	5719500	1.7895	0	1.7895	0	0	0	0	5719500
	Persons Acting in Concert	2	5719500	0	0	5719500	1.7895	5719500	0	5719500	1.7895	0	1.7895	0	0	0	0	5719500
	Orpheus Trading Pvt Ltd	1	3019500	0	0	3019500	0.9447	3019500	0	3019500	0.9447	0	0.9447	0	0	0	0	3019500
	Ardent Impex Pvt Ltd	1	2700000	0	0	2700000	0.8448	2700000	0	2700000	0.8448	0	0.8448	0	0	0	0	2700000
	Sub Total (A)(1)	8	95143214	0	0	95143214	29.7686	95143214	0	95143214	29.7686	0	29.7686	0	0	0	0	95143214
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	8	95143214	0	0	95143214	29.7686	95143214	0	95143214	29.7686	0	29.7686	0	0	0	0	95143214

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :10/07/2020

NSDL : 30/06/2020

CDSL :30/06/2020

Done



IIFL Securities Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including conversion of convertible securities (as a percentage	Number of Locked in shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form				
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)		As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
							Class eg: X	Class eg: y	Total									(X)
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a %	(X)	(XI)	(XII)	(XIII)	(XIV)							
1	Institutions																	
(a)	Mutual Fund	2	5371887	0	0	5371887	1.6808	5371887	0	5371887	1.6808	0	1.6808	0	0	NA	NA	5371887
(b)	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c)	Alternate Investment Funds	3	10271903	0	0	10271903	3.2139	10271903	0	10271903	3.2139	0	3.2139	0	0	NA	NA	10271903
	Abakkus Growth Fund-1	1	6300000	0	0	6300000	1.9712	6300000	0	6300000	1.9712	0	1.9712	0	0	NA	NA	6300000
	Abakkus Emerging Opportunities Fund-1	1	3500048	0	0	3500048	1.0951	3500048	0	3500048	1.0951	0	1.0951	0	0	NA	NA	3500048
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e)	Foreign Portfolio Investor	56	49122696	0	0	49122696	15.3696	49122696	0	49122696	15.3696	0	15.3696	0	0	NA	NA	49122696
	Hwic Asia Fund Class A Shares	1	28362530	0	0	28362530	8.8741	28362530	0	28362530	8.8741	0	8.8741	0	0	NA	NA	28362530
	Bank Muscat India Fund	1	12598222	0	0	12598222	3.9418	12598222	0	12598222	3.9418	0	3.9418	0	0	NA	NA	12598222
(f)	Financial Institutions / Banks	1	1883	0	0	1883	0.0006	1883	0	1883	0.0006	0	0.0006	0	0	NA	NA	1883
(g)	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(i)	Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Sub Total (B)(1)	62	64768369	0	0	64768369	20.2648	64768369	0	64768369	20.2648	0	20.2648	0	0	NA	NA	64768369
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
3	Non-Institutions																	
(a)	Individuals		0	0												NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	30844	21058436	0	0	21058436	6.5887	21058436	0	21058436	6.5887	0	6.5887	0	0	NA	NA	21007840
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	56	28718338	0	0	28718338	8.9855	28718338	0	28718338	8.9855	0	8.9855	0	0	NA	NA	28718338
(b)	NBFCs registered with RBI	2	1200	0	0	1200	0.0004	1200	0	1200	0.0004	0	0.0004	0	0	NA	NA	1200
	Trust Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e)	Any Other (Specify)	1692	109919905	0	0	109919905	34.392	109919905	0	109919905	34.392	0	34.392	0	0	NA	NA	109693781
	IEPF	1	26244	0	0	26244	0.0082	26244	0	26244	0.0082	0	0.0082	0	0	NA	NA	26244
	Trusts	2	75084	0	0	75084	0.0235	75084	0	75084	0.0235	0	0.0235	0	0	NA	NA	75084
	Foreign Nationals	3	241757	0	0	241757	0.0756	241757	0	241757	0.0756	0	0.0756	0	0	NA	NA	241757
	Hindu Undivided Family	696	918351	0	0	918351	0.2873	918351	0	918351	0.2873	0	0.2873	0	0	NA	NA	918351
	Body Corporate	343	90212170	0	0	90212170	28.2258	90212170	0	90212170	28.2258	0	28.2258	0	0	NA	NA	90211046
	FIH Mauritius Investments Ltd	1	84641445	0	0	84641445	26.4828	84641445	0	84641445	26.4828	0	26.4828	0	0	NA	NA	84641445
	Non Resident Indians (Non Repat)	168	5792741	0	0	5792741	1.8124	5792741	0	5792741	1.8124	0	1.8124	0	0	NA	NA	5792741
	Parajia Bharat Himatlal	1	5200000	0	0	5200000	1.627	5200000	0	5200000	1.627	0	1.627	0	0	NA	NA	5200000
	Non Resident Indians (Repat)	330	11087909	0	0	11087909	3.4692	11087909	0	11087909	3.4692	0	3.4692	0	0	NA	NA	10862909
	Parajia Bharat Himatlal	1	10000000	0	0	10000000	3.1288	10000000	0	10000000	3.1288	0	3.1288	0	0	NA	NA	10000000
	Clearing Member	149	1565649	0	0	1565649	0.4899	1565649	0	1565649	0.4899	0	0.4899	0	0	NA	NA	1565649
	Sub Total (B)(3)	32594	159697879	0	0	159697879	49.9666	159697879	0	159697879	49.9666	0	49.9666	0	0	NA	NA	159421159
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	32656	224466248	0	0	224466248	70.2314	224466248	0	224466248	70.2314	0	70.2314	0	0	NA	NA	224189528

Details of the shareholders acting as persons in Concert including their shareholding (No. and %):

Names	%
ORPHEUS TRADING PVT LTD	0.6319
ORPHEUS TRADING PVT LTD	0.3129
ARDENT IMPEX PVT LTD	0.8448

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders
0

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Same



IIFL Securities Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	ing, as a % assuming full conversion of convertible securities (as a percentage of	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
1		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
2		0	0	0	0	0	0	0	0	0	0	0	0.0000	NA	NA	0		
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0.0000	NA	NA	0		

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :10/07/2020 NSDL : 30/06/2020 CDSL :30/06/2020

Same



IIFL Securities Limited

Table V - Statement showing details of the Significant Beneficial Owner

Sr. No	Details of SBO (I)		Details of Registered Owner (II)		Details of holding/ Exercise of right of the SBO in the reporting Company, whether direct or indirect*: (III)					Date of Creation/Acquisition of significant beneficial interest
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares %	Voting Rights %	Rights on distributable dividend or any other distribution	Exercise of Control	Exercise of Significant Influence	
1	Nirmal Jain and Madhu Jain collectively	Indian	Orpheus Trading Private Limited	Indian	0.95	-	-	No	No	06-06-2019
2	Nirmal Jain and Madhu Jain collectively	Indian	Ardent Impex Private Limited	Indian	0.84	-	-	No	No	06-06-2019
3	Nirmal Jain, Madhu Jain, Bhavya Jain, Kalpita Jain and Harshita Jain collectively	Indian	Harshita Jain and Mansukhlal Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	Indian	3.13	-	-	No	No	06-06-2019

*In case the nature of holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Coloumn III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

#This coloumn shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar

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